

# A practical and provable technique to make randomized systems accountable

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## Abstract

We describe a technique that enables accountability in systems that use randomized protocols. Byzantine faults whose effects are observed by a correct node are eventually detected and irrefutably linked to a faulty node. At the same time, correct nodes are always able to defend themselves against false accusations. The key contribution is a novel technique for generating cryptographically strong, accountable randomness. The technique generates a pseudo-random sequence and a proof that the elements of this sequence have been correctly generated, while avoiding that future values of the sequence can be predicted. External auditors can check if a node deviates from its expected behavior without learning anything about the node's future random choices. In particular, an accountable node does not need to leak secrets that would make its future actions predictable. The technique is practical and efficient. We demonstrate that our technique is practical by applying it to a simple server that uses random sampling for billing purposes.

## 1 Introduction

Nodes in distributed systems can fail for many reasons: a node can suffer a hardware or software failure; an attacker can compromise a node; or a node's operator can deliberately tamper with its software. Moreover, faulty nodes are not uncommon [22]. In a large scale system, it is increasingly likely that some nodes are accidentally misconfigured or have been compromised as a result of unpatched security vulnerabilities.

Recent work has explored the use of *accountability* to detect and expose node faults in distributed systems [27, 14]. Accountable systems maintain a tamper-evident record that provides non-repudiable evidence of all nodes' actions. Based on this record, a faulty node whose observable behavior deviates from that of a correct node can be detected eventually. At the same time, a correct node can defend itself against any false accusations.

PeerReview [14], for instance, creates a per-node secure log, which records the messages a node has sent and received, and the inputs and outputs of the application. Any node  $i$  can request the log of another node  $j$  and independently determine whether  $j$  has deviated from its expected behavior. To do this,  $i$  replays  $j$ 's log using a reference implementation that defines  $j$ 's expected behavior. By comparing the results of the replayed execution with those recorded in the log, PeerReview can detect Byzantine faults without requiring a formal specification of the system.

The approach taken by PeerReview is very general, but it requires that each node's action be deterministic. (Otherwise, divergent actions of a node and its reference implementation may be classified incorrectly as a fault.) One approach to ensure deterministic behavior is to disclose, as part of a node's record, the seed of any pseudo-random number generator used in the node's program. Unfortunately, disclosing the seed also reveals any secrets that were randomly chosen by this node and enables prediction of the future sequence of pseudo-random numbers. We could allow a node to choose a new seed once it has proven that its past actions were fault-free. However, this would allow a bad node to manipulate seeds strategically, and thus follow a sequence of actions that is not pseudo-random.

Thus, applying PeerReview's technique faces us with a choice: we can make a node's actions (including its adherence to a pseudo-random sequence) accountable at the expense of revealing the node's secrets and making its future actions predictable; or, we can protect a node's secrets and keep its future actions unpredictable, but give up the ability to verify that the node is following a pseudo-random sequence of actions.

Consider, for instance, a distributed algorithm that uses some form of statistical sampling. We would like to be sure that each node follows a truly random sequence of samples to ensure unbiased results. However, disclosing a node's future random samples as a side-effect of auditing the node's past actions may allow an attacker to adapt his behavior to the expected samples, thus biasing the results. As a result, existing accountability techniques are not appropriate for such protocols.

We contribute a protocol for generating cryptographically strong, *accountable* randomness. The technique allows us to apply PeerReview to probabilistic protocols without making their actions predictable. More precisely, we propose a pseudo-random generator that has the following five properties:

1. The pseudo-random generator should output cryptographically strong randomness in the sense that even the entity that is generating this randomness cannot compute something that could not be computed if those numbers were chosen truly randomly.
2. The pseudo-random generator should support accountability, i.e., after each random value  $r$  is generated, it should be possible to generate a proof that this value  $r$  was indeed correctly derived from a given seed.
3. Future random values of honest nodes should be unpredictable, i.e., for an entity learning random values  $r_1, \dots, r_i$  and the corresponding proofs, all future random values  $r_{i+1}, \dots$  should still look random. (In particular, this excludes the obvious solution of using the random seed as a proof.)
4. The pseudo-random generator should specify a method for choosing the seed such that even if malicious entities are involved in the computation of the seed, the resulting randomness should still fulfill the properties described above.
5. Both generating the randomness and verifying the corresponding proofs should be highly efficient to keep the costs of accountability low compared to the actual protocol execution. (In particular, this excludes solutions based on zero-knowledge proofs.)

We achieve these properties by an initial coin-toss protocol, followed by a novel combination of hashing (where the hash function is modeled as a random oracle) and a trapdoor one-way permutation. Our construction essentially constitutes a chain of inverse trapdoor applications starting from the seed derived from the coin-toss, where the sequence is partitioned into blocks by intermediate applications of the hash function. The hash function is additionally used to transform elements of this sequence into independent random values. Elements in the sequence serve as a proof for former sequence elements and hence for the corresponding

random values, since everybody can use the permutation to compute former sequence values itself and then compare them with the actually used random values. The hardness of inverting the trapdoor permutation and the usage of the random oracle prevent a prediction of future sequence elements, and consequently of the random values used in the future. This construction turns out to be efficient, and it can be further optimized by exploiting number-theoretic properties of low-exponent RSA.

The security of our protocol for generating accountable randomness is formally established by comparing it to an ideal specification of its expected behavior, under the additional hypothesis that the surrounding protocol does not use the same hash function as that used for generating the randomness. This corresponds to the well-known simulatability paradigm of modern cryptography, out of which the Reactive Simulatability (RSIM) framework [2] and the Universal Composability (UC) framework [7] constitute the most prominent representatives that have been used to prove the security of various protocols. In particular, simulatability offers strong compositionality guarantees.

We implemented our protocol as an extension to the publicly available PeerReview library [23]. Our evaluation shows that the computational cost of our technique is low: on current hardware and with a 1024-bit RSA modulus, a random number can be generated in less than  $20\mu s$  and verified in less than  $10\mu s$ . We also show that our protocol is practical, and that its storage and bandwidth costs are low both in relative and in absolute terms.

The rest of the paper is organized as follows. Section 2 overviews the related work. Section 3 describes the protocol for generating accountable randomness and states out its correctness properties. Section 4 sketches the implementation of the protocol in the context of PeerReview. Section 5 gives a few examples of existing and prospective applications of our technique. Section 6 presents the evaluation results. Section 7 concludes the paper. Corresponding security proofs are presented in the appendix.

## 2 Related Work

**On generating accountable randomness** A technique that is strongly related to our technique is that of Verified Random Functions (VRF) [20] and the stronger simulatable VRFs [11]. However, these fall short of our requirements in that they do not guarantee that the randomness produced by malicious parties has strong randomness properties even if the malicious parties release additional information on their seeds. Furthermore, in particular the simulatable VRFs are much less efficient than our technique. We buy these advantages by using the random oracle model which is known to allow for very efficient constructions.

**On accountability** Accountability in distributed systems has been suggested as a means to achieve practical security [16], to create an incentive for cooperative behavior [13], to foster innovation and competition in the Internet [17, 1], and even as a general design goal for dependable networked systems [26].

Systems have recently been built for adding accountability for deterministic systems by exploiting secure logs that record the messages sent and received by each node. CATS [28] implements a deterministic network storage service with strong accountability properties. Besides its restriction to deterministic systems, it depends on a trusted publishing medium that ensures the integrity of these logs, and it detects faults by checking logs against a set of rules that describes the correct behavior of a specific system (a network storage service). Repeat and compare [21] uses accountability to ensure content integrity in a peer-to-peer CDN built on untrusted nodes; it detects faults by having a set of trusted verifier nodes locally reproduce a random sample of the generated content, and by comparing the results to the content returned by the untrusted nodes. PeerReview [14] offers strong accountability for any distributed system that can be modeled as a collection of deterministic state machines. Just as CATS, it relies on maintaining secure logs for recording sent and

received messages, but it does not assume a trusted publishing medium and does not require a specification of correct behavior; instead, it replays the logs using the systems’s reference implementation.

### 3 The Protocol for Generating Accountable Randomness

#### 3.1 Cryptographic Assumptions

**The Random Oracle Model.** The random oracle model [4] constitutes one of the most popular heuristics in cryptography. The security of virtually all practically deployed public-key encryption and signature schemes relies on the random oracle model, e.g., of the RSA-OAEP encryption scheme [5] specified in the PKCS #1 standard [24].

The random oracle model formalizes the intuition that a good cryptographic hash function has essentially no recognizable structure, i.e., this function can be expected to behave as a completely random function. Instead of proving the protocol under consideration with respect to some fixed actual hash function  $H$  (e.g., SHA-1), proofs in the random oracle model presuppose a function  $H : \{0, 1\}^* \rightarrow \{0, 1\}^l$  that is uniformly chosen from the set of all such functions, i.e., for each value  $x$ , the value  $H(x)$  constitutes a uniformly chosen value (with two calls to  $H(x)$  returning the same value). The security of the protocol under consideration is then proven by granting the protocol oracle-access to  $H$ ; the implementation, however, uses the concrete hash function. Although (pathological) protocols exist that violate the random oracle heuristics [8], no example of a practical protocol is known to the best of our knowledge that is proven secure within the random oracle model but whose implementation turns out to be insecure when implemented with a sufficiently good cryptographic hash function.

The random oracle model is known to allow for very efficient protocol constructions. In our setting, the random oracle model furthermore enjoys the following advantage: our randomness generation protocol is only provably secure if it relies on a hash function that is not as well used in the application protocol. For an actual hash function, this statement is difficult to formalize properly since the application protocol might only compute parts of the hash function, or the function might be obfuscated. If one relies on the random oracle model, this statement can be naturally formalized by constraining the application protocol to not query the oracle  $H$ .

**Low-exponent RSA.** In the following, we consider the low-exponent RSA permutation  $f_n(x) := x^3 \bmod n$ , where  $n$  is a random RSA-modulus (a product of two random primes  $p$  and  $q$  of the same length) of some length  $l$  with  $3 \nmid \varphi(n) = (p-1) \cdot (q-1)$ . The low-exponent RSA permutation constitutes a variant of the RSA permutation where the public exponent  $e$  is instantiated as a small fixed number (in our case  $e = 3$ ). While naively using low-exponent RSA in larger protocols (e.g., as an encryption scheme without additional padding) is known to yield troublesome scenarios, it is a well-accepted assumption that the low-exponent RSA permutation itself is hard to invert. More exactly, we define the following function  $\varepsilon_{3\text{RSA}}$ .

**Definition 1** *Let  $\varepsilon_{3\text{RSA}}(l, s)$  be the maximum probability over all circuits of size at most  $s$  that upon input of a random RSA modulus  $n$  of length  $l$  and a random  $y \in \{0, \dots, n-1\}$  the circuit outputs some  $x$  with  $x^3 \equiv y \pmod n$ .*

The low-exponent RSA assumption for  $e = 3$  (abbreviated 3RSA) can be formally stated as follows:

**Assumption 1 (3RSA)** *For  $l(k) \in \Omega(k)$  and any polynomial  $s(k)$ , we have that  $\varepsilon_{3\text{RSA}}(l(k), s(k))$  is negligible.*

The 3RSA assumption trivially follows from the well-established strong RSA assumption [3]. In addition, the function  $f_n$  can be inverted efficiently if the factorization of  $n = pq$  is known: One computes a secret key  $d$  with  $3d \equiv 1 \pmod n$  and then computes  $f_n^{-1}(x) = x^d \pmod n$ . In other words, under the 3RSA assumption,  $f_n$  constitutes a trapdoor one-way permutation.

## 3.2 The Protocol Idea

We now outline the security properties our protocol aims to achieve as well as the techniques used to achieve them. The formal description of the protocol, its security guarantees as well as the rigorous security proof is given in the subsequent sections.

### 3.2.1 Desired Security Properties

Defining a protocol for generating accountable randomness faces us with the challenge of finding a pseudo-random generator that achieves the following properties:

1. The pseudo-random generator should guarantee cryptographically strong randomness. This not only captures that the randomness is uniformly distributed or that no outsider can guess the randomness, but also that even the entity generating the random numbers cannot compute something that cannot be computed if those numbers were chosen truly randomly. For instance, generating the random number  $r$  together with its discrete logarithm  $d$  should be impossible. Only requiring the randomness to be uniformly distributed would not exclude this.<sup>1</sup>
2. The pseudo-random generator should be accountable, i.e., after each random value  $r$  is generated, it should be possible to generate a proof that this value  $r$  was indeed correctly derived from a given seed.
3. Future random values of honest nodes should be unpredictable, i.e., for an entity learning random values  $r_1, \dots, r_i$  and the corresponding proofs, all future random values  $r_{i+1}, \dots$  should still look random. This requirement in particular prevents us from using the random seed as a proof.
4. The pseudo-random generator should specify a method for choosing the seed such that even if malicious entities are involved in computing the seed, the resulting randomness still fulfills the properties described above.
5. Both generating the randomness and verifying the corresponding proofs should be highly efficient to keep the costs of accountability low compared to the execution of the application protocol. This requirement in particular excludes solutions based on zero-knowledge proofs. Our protocol will use only a few hashes and multiplications in an RSA group for each generation of a random value.

### 3.2.2 Achieving Accountability and Unpredictability

We first concentrate on the accountability and the unpredictability of the pseudo-random generator, i.e., on Properties 2 and 3. Assume that a node  $P$  would like to generate a random value. Our approach presupposes the existence of a seed  $s_0$  that is known to everyone (this will later be guaranteed by an initial coin-toss) as well as of a trapdoor one-way permutation  $f$  whose secret key is known only to  $P$ , i.e., only  $P$  can invert the permutation. Consequently,  $P$  is able to compute a sequence  $s_i := f^{-1}(s_{i-1})$  while all other entities are not capable of computing the values  $s_i$ , even given  $s_0, \dots, s_{i-1}$ , since they lack the secret key of  $f$ . Evaluating the function  $f$ , however, allows everyone that knows  $s_{i-1}$  and  $s_i$  to check if  $f(s_i) = s_{i-1}$  holds true. In

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<sup>1</sup>For instance, the following random-number generator for random elements of a group  $G$  would not be cryptographically strong, although it produces a uniformly distributed  $r$ : Choose  $r'$  randomly, set  $r := g^{r'}$  (where  $g$  is a generator of  $G$ ), and return  $r$ . The value  $r$  would be uniformly distributed but the entity computing  $r$  would also know its discrete logarithm  $r'$ .

this case, it also holds that  $s_i = f^{-1}(s_{i-1})$ . Hence we achieve accountability for those values. We note that the  $s_i$  cannot directly be used as random values since  $s_i$  and  $s_{i-1}$  stand in a strong relation (namely, one is the image of the other under  $f$ ); this would not be the case if these values were chosen truly randomly. Therefore, we let  $r_i := H(s_i)$  and use  $r_i$  as the desired random value. Considering  $H$  as a random oracle then allows for concluding that  $H(r_i)$  and  $H(r_{i-1})$  have been successfully decoupled. Hence future random values cannot be predicted (Property 3) and accountability for those values is provided (Property 2).

### 3.2.3 Achieving Strong Cryptographic Randomness

Providing strong cryptographic randomness in the sense of Property 1 constitutes a difficult task in general. Fortunately, our construction can be shown to already offer strong cryptographic randomness as long as we model  $H$  as a random oracle. To convey the basic idea behind this observation, we first sketch how cryptographic randomness will be defined. We rely on the well-established approach of defining security by means of simulation: To show that a sequence  $r_1$ , even given the side information  $s_i$  and  $f$  (and if  $P$  is malicious, additionally the secret key for  $f$ ), is random we show that there is an efficient machine (called the simulator) that, given a sequence of values  $r_i$ , can simulate a realistically looking protocol execution that results in exactly these values (in particular, it has to come up with realistic values  $s_i$  and  $f$ ). The intuition behind this notion is that if some property holds for the  $r_i$  in the original protocol (called the real execution), the same property would hold for the truly random  $r_i$  in the simulation (called the ideal execution). Rigorous definitions of this idea will be given in Section 3.5. For instance, if one could compute the discrete logarithm of  $r_i$  in the real execution, one could also compute the discrete logarithm of the truly random  $r_i$  in the ideal execution. Since the latter is conjectured infeasible, it follows that the discrete logarithm of  $r_i$  cannot be computed in the real execution as well, not even by  $P$  itself.

Defining security by means of comparing a protocol against an ideal execution has asserted its position as a salient technique in modern cryptography, and the flavor we are using has been shown to offer very strong security and compositionality guarantees [2, 7]. In our case, the simulation becomes possible because of the random oracle  $H$ . Since the simulator has to simulate  $H$ , it is free to choose the values  $H(x)$  in a suitable manner, as long as the distribution of  $H(x)$  is still the uniform distribution. In our case, the simulator can do this by setting  $H(s_i) := r_i$ , provided that the simulator succeeds in recognizing a value  $s = s_i$ . The protocol described so far does not seem to offer an efficient way to recognize such values since arbitrary values  $s$  may occur,  $i$  might be arbitrarily large, and one would have to test for arbitrarily many  $i$  whether  $f^i(s) = s_0$  holds. We hence slightly adapt the protocol as follows: In every  $t_1$ -th step, the value  $s_i$  is not computed as  $s_i = f^{-1}(s_{i-1})$  but as  $s_i = f^{-1}(H^*(s_{i-1}))$  (here  $H^*$  is a suitably padded version of  $H$ ), see Figure 1. (The dashed lines can be ignored at this point.) Then any  $s = s_i$  fulfills  $f^j(s) = H^*(x)$  for some  $j \leq t$  and some  $x$ . Since the simulator simulates the function  $H$ , it knows all values  $H^*(x)$  that have been queried from  $H$  so far, and thus he can efficiently check whether  $f^j(s) = H^*(x)$  holds for some  $x$  that has already been queried; for values  $x$  that have not been queried, one can easily show this equation to almost never hold true. This allows for proving that our protocol indeed gives strong randomness guarantees, even against a malicious  $P$ . We note that  $t_1 = 1$  – to hash before every application of  $f^{-1}$  – constitutes a perfectly fine choice from a security point of view. Larger values of  $t_1$  allow for more efficient implementations though, see Section 3.4.

### 3.2.4 Choosing a Suitable Seed

We now turn to the property of suitably choosing the seed (Property 4). Our construction presupposes that the initial seed  $s_0$  is chosen randomly and that the function  $f$  is chosen correctly even if  $P$  is malicious.

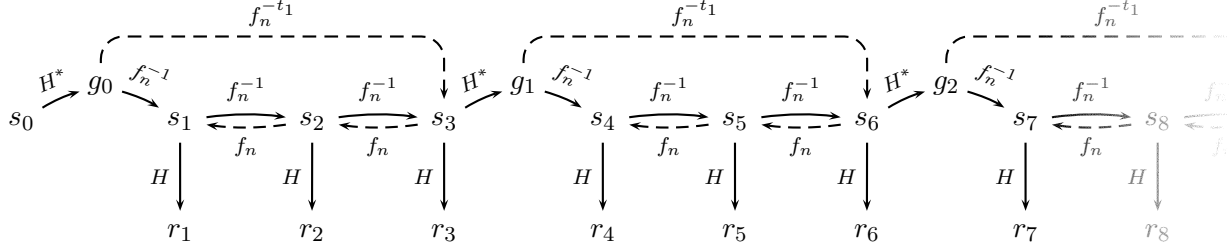


Figure 1: The randomness generator for  $t_1 = 3$ . The dashed lines depict the optimized variant from subsection 3.4.

A suitable choice of  $s_0$  can be enforced by choosing  $s_0$  as the result of a coin-toss, which can easily be implemented using the hash function  $H$ . Enforcing a correct choice of  $f$  turns out to be more sophisticated. Since the secret key of  $f$  must not be disclosed to any participant other than  $P$ ,  $P$  chooses  $f$  on its own. This opens the following possibilities of a badly-formed choice of  $f$ . First,  $f$  might not constitute a permutation. In this case, the values  $s_i$  will not necessarily be uniformly distributed; even worse, some value  $s_{i-1}$  may have several preimages  $s_i$  under  $f$  so that  $P$  may be able to choose the next random value from these possible values. Second, an incorrectly chosen  $f$  might have a small period, i.e., for some  $s_0$  and some  $\mu$ , we might have that  $s_{\nu+\mu} = f^\mu(s_\nu) = s_\nu$  and consequently that  $r_{\nu+\mu} = r_\nu$ . The first attack can be prevented by finding a way to prove that  $f$  indeed constitutes a permutation. This is difficult to prove in general if the secret key must not be revealed. In the case of the low-exponent RSA permutation, however, it turns out to be sufficient to show for a few random values  $y_i$  that all these values have a preimage under  $f$ . More exactly, in order to prove that  $f$  constitutes a permutation, we compute values  $q_\mu = f^{-1}(H(\mu, n))$  where  $n$  is the RSA modulus used by  $f$ . The second attack is circumvented by including  $P$  and  $i$  in all hash values. Hence even in the case  $s_{\nu+\mu} = s_\nu$ , we still have  $r_{\nu+\mu} \neq r_\nu$ .

### 3.2.5 Towards an Efficient Solution

We finally consider the efficiency of randomness generation and proof validation (Property 5). In the protocol outlined above, both generation and verification of a random value need one application of  $f$  or  $f^{-1}$ . For general trapdoor one-way permutations this may be quite expensive. In our particular scheme we propose to use 3RSA; in this case, an application of  $f$  can be performed using two multiplications. The inverse  $f^{-1}$  still requires one exponentiation, and this is too expensive for our purposes. In Section 3.4, we give a batch evaluation technique that brings the amortized complexity of generating one random value arbitrarily close to two multiplications. Note that one random value is not a single random bit but  $l_2$  bits where  $l_2$  is the length of the output of  $H$ .

## 3.3 Description of the Protocol

We now formally describe the protocol for generating accountable randomness. This protocol is designed as a subprotocol for inclusion in some larger application like PeerReview; we hence only specify the routines for generating randomness and the corresponding proofs, and for verifying these proofs. Full-scale accountability is then provided on the next layer, e.g., by PeerReview.

### 3.3.1 Protocol Parameters and Additional Notation

Our protocol is parametrized by the following values: The value  $l_1$  is the length of  $H(x)$  for any  $x$ . The value  $l_2$  is the length of the RSA modulus used. The values  $t_1, t_2, t_3, t_4 \geq 1$  denote integers satisfying  $t_3 l_1 \geq l_2$ . The security of the protocol will be guaranteed if  $t_1, t_2, t_3 l_1 - l_2$ , and  $t_4$  are of at least linear size in the security parameter (see also Theorem 1 below).

We use the following notation:  $H(x)$  denotes an application of the random oracle. When writing  $H(x, y, \dots)$  we assume that the tuple  $(x, y, \dots)$  is encoded into a single string in some efficiently decodable fashion. By  $H^*(x)$  we denote  $H(1, x) \parallel \dots \parallel H(t_3, x)$ . Note that the length of  $H^*(x)$  is at least  $l_2$ . For an integer  $n$  (not necessarily an RSA modulus), we write  $f_n$  to denote the function  $f_n(x) := x^3 \bmod n$ . In slight abuse of notation, we write  $f_n^{-1}(x) \in \{0, \dots, n-1\}$  for the preimage of  $x \bmod n$  under  $f_n$ , provided that  $f_n$  constitutes a permutation on  $\{0, \dots, n-1\}$ . Note though that even if  $f_n^{-1}$  is defined, it is the inverse of  $f_n$  only on  $\{0, \dots, n-1\}$ .

### 3.3.2 The Coin-toss Subprotocol

This auxiliary subprotocol will be used in the main randomness generation protocol to get a random value  $s$  (from which the initial seed  $s_0$  is derived). We do not require the value  $s$  to remain secret; this strongly facilitates to perform a secure coin-toss, in particular in the random oracle model. We additionally sign all messages so that when plugging the protocol into PeerReview, every party can prove that it indeed behaved correctly.

For nodes  $P, P_1, \dots, P_k$  to perform a coin-toss, the nodes first choose random values  $r, r_1, \dots, r_k$ . Then each node  $P_i$  computes  $c_i := H(r_i)$  and produces a signature  $\sigma_i$  on  $c_i$ . Then all  $(c_i, \sigma_i)$  are sent to  $P$ . Then  $P$  sets  $c := H(r)$ , sets  $h := (c, c_1, \sigma_1, \dots, c_k, \sigma_k)$ , and produces a signature  $\sigma$  on  $h$ . Then each  $P_i$  checks all signatures in  $h$ , produces a signature  $\sigma'_i$  on  $h$ , and sends  $(r_i, \sigma'_i)$  to  $P$ .  $P$  checks all signatures  $\sigma'_i$  and sends  $(r, r_1, \dots, r_k)$  to  $P_1, \dots, P_k$ . The outcome of the coin-toss is  $s := r \oplus r_1 \oplus \dots \oplus r_k$ .

It is easy to show that this protocol produces a random value  $s$  if at least one party is honest. Moreover, this protocol will only be invoked once during the setup phase of our protocol; hence the communication and computation overhead generated in particular by the signatures is acceptable.

### 3.3.3 The Randomness Generation Subprotocol

We are now ready to formally describe our protocol for generating cryptographically strong, accountable randomness. The protocol consists of three parts: a setup phase for generating the seed, a function for generating the random values and the corresponding audit information (proofs), and a function for verifying these proofs.

In the *setup phase* each node  $P$  performs the following steps:

- Choose a random RSA-modulus  $n$  such that  $3 \nmid \varphi(n)$  and compute the secret key  $d$  with  $3d \equiv 1 \pmod{\varphi(n)}$ . (Do not store the secret key in the audit log.) Then compute  $q_\mu := f_n^{-1}(H^*(\text{pk}, \mu, n))$  for  $\mu = 1, \dots, t_2$  and send a signed message  $(\text{pk}, n, q_1, \dots, q_{t_2})$  to all its witnesses.<sup>2</sup>
- Then  $P, P_1, \dots, P_n$  perform a coin-toss (see Section 3.3.2) where  $P_1, \dots, P_k$  are the witnesses of  $P$  (or any other set such that we assume that at least one of  $P, P_1, \dots, P_n$  is honest). Let  $s$  denote the outcome of the coin-toss.
- Set  $s_0 := H^*(P, \text{start}, s)$  where  $P$  denotes a string encoding the identity of the node  $P$ .<sup>3</sup>

<sup>2</sup>Here we assume that  $\text{pk}$  is some string that is different from the identifier of any node.

<sup>3</sup>Here  $\text{start}$  is some fixed string which is not an integer.

For *generating a random value*  $r_i$  and the corresponding audit information (where  $i$  is a sequential index starting  $i = 1$ ), perform the following steps:

- If  $t_1 \mid i - 1$ , set  $s_i := f_n^{-1}(H^*(P, i - 1, s_{i-1}))$ .
- If  $t_1 \nmid i - 1$ , set  $s_i := f_n^{-1}(s_{i-1})$ .
- Let  $r_i := H(P, i, s_i)$ .
- Store  $s_i, r_i$  in the audit log.

For *verifying a random value*  $r_i$ , the following function *Verify* is evaluated on the values  $(P, n, s, r_i, q_1, \dots, q_{t_2}, s_1, \dots, s_i)$  where  $P$  is a string encoding the identity of the node  $P$ ,  $s$  is the value computed in the coin-toss,  $r_i$  is the current random value,  $q_1, \dots, q_{t_2}$  are the values sent in the setup phase and  $s_1, \dots, s_n$  are the values found in the audit log.

**Definition 2 (Verification function)** *When invoked as  $Verify(P, n, s, r_i, q_1, \dots, q_{t_2}, s_1, \dots, s_i)$  with  $i \geq 1$ , the function *Verify* performs the following checks:*

- $s_\mu \stackrel{?}{\in} \{0, \dots, n - 1\}$  for  $\mu = 1, \dots, i$ .
- $f_n(q_\mu) \stackrel{?}{\equiv} H^*(pk, \mu, n) \pmod n$  for  $\mu = 1, \dots, t_2$ .
- $f_n(s_\mu) \stackrel{?}{\equiv} s_{\mu-1}$  for all  $\mu = 1, \dots, i$  with  $t_1 \nmid \mu - 1$ .
- $f_n(s_\mu) \stackrel{?}{\equiv} H^*(P, \mu - 1, s_{\mu-1}) \pmod n$  for all  $\mu = 1, \dots, i$  with  $t_1 \mid \mu - 1$  where  $s_0 := H^*(P, \text{start}, s)$ .
- $r_i \stackrel{?}{\equiv} H(P, i, s_i \pmod n)$ .

It is of course not necessary to perform all these checks upon each invocation of *Verify*. Since only one new value  $s_i$  occurs for each new randomness query, each evaluation of *Verify* essentially uses one application of  $f_n$  (costing two multiplications) and some hashing. Furthermore, at most  $t$  values  $s_i$  need to be stored when such an incremental evaluation of *Verify* is used.

### 3.4 Efficient Implementation

The main computational overhead of the randomness generation protocol stems from the computation of the one-way permutation  $f_n$  and of its inverse  $f_n^{-1}$ . Verifying one random value requires one application of  $f_n$ ; generating one random value requires one application of  $f_n^{-1}$ . (Such random values are at least not single bits but an element from the image of  $H$ .) Since  $f_n$  has been chosen as  $f_n(x) = x^3 \pmod n$ , computing  $f_n(x)$  requires only two multiplications modulo  $n$  and is hence efficient. The inverse  $f_n^{-1}$ , however, is computed as  $f_n^{-1}(x) = x^d \pmod n$  and therefore needs one exponentiation, which is too expensive when invoked for every new random value. The following technique allows for lowering the amortized computational cost per random value to approximately two multiplications. We exploit that for any  $m$  and any  $j \in \{1, \dots, t_1\}$ , we have that  $s_{mt_1+j} = f_n^{-j}(g_m)$  where  $g_m := H^*(P, mt_1, s_{mt_1})$ . In particular,  $s_{(m+1)t_1} = f_n^{-t_1}(g_m)$  and  $s_{mt_1+j} = f_n(s_{mt_1+j+1})$  for  $j = \{1, \dots, t_1 - 1\}$ . Using this equation, we can compute the block  $s_{mt_1+1}, \dots, s_{(m+1)t_1}$  using  $t_1 - 1$  applications of  $f_n$  and one application of  $f_n^{-t_1}$  (cf. the dashed lines in Figure 1). Since  $f_n^{-t_1}(x) \equiv x^{d^{t_1}} \equiv x^c \pmod n$  with  $c := d^{t_1} \pmod{\varphi(n)}$  and since  $c$  needs to be computed only once, the cost for  $f_n^{-t_1}$  is essentially one exponentiation. Thus for computing  $t_1$  values  $s_i$  we need  $t_1 - 1$  multiplications and one exponentiation. For sufficiently large values of  $t_1$ , the amortized complexity per value  $s_i$  hence amounts to only one multiplication. We refer to our benchmarks in Section 6.1.

### 3.5 Security Guarantees

We now discuss the security guarantees offered by the protocol presented in the previous section. The main difficulty is to model the fact that the generated randomness indeed constitutes cryptographically strong randomness. Such strong guarantees are useful for protocols that use cryptographic primitives: if the randomness contains some structure that becomes apparent given some additional information (namely the audit information), this may break the proofs of many randomness-based cryptographic protocols.

The basic idea behind our security definition can be summarized as follows. We first define an idealized version of our protocol. In this idealized version, the protocol does not generate the randomness according to the protocol description, but it instead uses truly random values. The idealized version ensures that even malicious nodes cannot lie about their randomness. However, malicious nodes are allowed to predict their *own* future random values even if these values have not yet been used by the protocol; moreover, the used random values of honest nodes get revealed to the adversary. The idealized version of the protocol captures the properties we want to prove about the randomness generated by our protocol: intuitively, the randomness generated by our protocol is as good as true randomness up to the two aforementioned imperfections. If desired, these imperfections can be additionally taken care of at the cost of having a computationally more expensive solution, cf. Section 3.6.

It remains to define a notion that captures that our protocol is as good as its idealized version. This is formalized by requiring that for any adversary  $A$  that attacks the protocol (i.e., an adversary that controls the malicious nodes and may intercept information) there exists a simulator  $S$  that attacks the idealized version of the protocol, such that any third entity, called the environment, cannot distinguish between a run of the real protocol with  $A$  and an execution of the idealized version of the protocol with  $S$ . In particular, this entails that for any structure that  $A$  might find or produce in the random values,  $S$  is capable of finding the same structure in the ideal random values. However, since these values are truly random,  $S$  will not find any such structure; consequently, we conclude that  $A$  will not be able to find any structure either. Other properties carry over to the real protocol in a similar manner, e.g., the unpredictability of the randomness of the honest nodes or the fact that the randomness of all nodes satisfy any efficient statistical test for randomness.

This approach for defining the properties of cryptographic systems is widely used in the cryptographic community, where it is known as UC security (Universal Composability) or as RSIM security (Reactive Simulatability). Definitions of these kinds have been shown to provide very strong security and compositionality guarantees [2, 7]. Compositionality is particularly important in our setting since we want to use our protocol as part of a larger context (with PeerReview and the application protocol). The underlying reason why this definition entails such strong compositionality guarantees is that we show that no environment can distinguish between the real and the idealized protocol; hence in particular the application protocol (which constitutes a valid environment) cannot distinguish these two protocols, and all properties of the application protocol (as long as they are observable) are consequently preserved when replacing the idealized protocol by the real protocol.

We now define the model for the execution of the real protocol. To facilitate the modeling, we include both the generation of the randomness as well as the verification of the proofs using *Verify* into a single machine. One should keep in mind that in a real implementation, these two algorithms would run on different machines; in particular, *Verify* would be evaluated several times.

**Definition 3 (Real machine)** *The real (honest) [dishonest] machine  $M_P$  for id  $P$  performs the following steps:*

- *In the first activation by the environment, (the values  $(n, q_1, \dots, q_{t_2})$  are generated honestly according to the randomness generation protocol) [the adversary is asked for some values  $(n, q_1, \dots, q_{t_2})$ ]. This*

tuple  $(n, q_1, \dots, q_{t_2})$  is returned.

- In its second environment activation, a random  $s \in \{0, 1\}^{l_1}$  is chosen and returned. The value  $s$  is also given to the adversary.<sup>4</sup>
- In each further environment activation (the  $i$ -th randomness query, starting with  $i = 1$ ), (the values  $r_i, s_i$  are generated according to the randomness generation protocol) [the adversary is asked for values  $r_i, s_i$ ]. Then  $b_i := \text{Verify}(P, n, s, r_i, q_1, \dots, q_{t_2}, s_1, \dots, s_i)$  is computed.<sup>5</sup> The triple  $(r_i, s_i, b_i)$  is returned.

We now define the corresponding idealized version of  $M_P$ . The idealized version chooses truly random values  $r_i$ , even if it is malicious. The real machine cannot be forced to output correct values, but we can only enforce that if it outputs an incorrect one, then this value will fail the tests. To adequately model this situation, the adversary may choose whether  $b_i$  (the outcome of the test) is 0 or 1. If the adversary chooses  $b_i = 0$ , it may choose the randomness to be returned; if the adversary chooses  $b_i = 1$ , true randomness is always returned. Furthermore, if the machine is honest, only  $b_i = 1$  is allowed. Our security definition in particular does not require any properties about the  $s_i$  (only about the result of *Verify*, but this is captured by the value of  $b_i$ ). The values  $s_i$  can consequently be chosen by the adversary even in the case of honest parties (this is a popular way to model nondeterminism in cryptographic protocols).

**Definition 4 (Ideal machine)** *The ideal (honest) [dishonest] machine  $\tilde{M}_P$  for id  $P$  performs the following steps:*

- Before the first activation, it initializes an infinite list of values  $r_1, r_2, \dots$  uniformly and independently distributed over  $\{0, 1\}^{l_1}$ .<sup>6</sup> [All values  $r_i$  are made accessible to the adversary.<sup>7</sup>]
- Upon each activation, the inputs to the machine are forwarded to the adversary.
- In its first environment activation, the adversary is asked for some values  $(n, q_1, \dots, q_{t_2})$ . This tuple  $(n, q_1, \dots, q_{t_2})$  is returned.
- In its second environment activation, a random  $s \in \{0, 1\}^{l_1}$  is chosen and returned. The value  $s$  is also given to the adversary.
- In each further environment activation (indexed consecutively, starting with  $i = 1$ ), the machine sends  $r_i$  to the adversary and asks the adversary for a tuple  $(\tilde{r}_i, s_i, b_i)$ . (Then it returns  $(r_i, s_i, 1)$ .) [Then it returns  $(r_i, s_i, 1)$  if  $b_i = 1$  and  $(\tilde{r}_i, s_i, 0)$  otherwise.]

We can now state the security property of our protocol:

**Theorem 1** *Let  $l_1, l_2, t_1, t_2, t_3, \#\Pi$  be polynomially bounded in some security parameter  $k$ , and  $l_2, t_2, (t_3 l_1 - l_2) \in \Omega(k)$ , and assume that the 3RSA assumption holds.*

*Let a set  $\Pi$  of nodes be given of which an arbitrary number may be malicious. Then for any polynomial-time machine  $A$  there exists a polynomial-time machine  $S$  such that for any environment  $Z$  that does not access the random oracle  $H$  the following holds: Let  $P_R$  denote the probability that  $Z$  outputs 1 after running together with  $A$  and real machines  $M_P$  for all  $P \in \Pi$ . Let  $P_I$  denote the probability that  $Z$  outputs 1 after running together with  $S$  and ideal machines  $\tilde{M}_P$  for all  $P \in \Pi$ . Then  $|P_R - P_I|$  is negligible in the security parameter  $k$ .*

<sup>4</sup>Here we simplify: Instead of using the coin-toss subprotocol, we assume that the initial seed  $s$  is chosen as true randomness. A complete treatment would have to prove that the coin-toss subprotocol presented above actually returns a truly random  $s$ . At this point, however, we treat the subprotocol as a black-box since it uses only well-known techniques.

<sup>5</sup>Note that the value  $b_i$  is computed correctly even for malicious  $P$ , since  $b_i$  is not part of the output of  $P$  but captures if the output of  $P$  would pass the tests or not.

<sup>6</sup>Strictly speaking, the whole infinite list is not initialized at the beginning of the protocol, but it is lazily built up whenever a value  $r_i$  is required.

<sup>7</sup>That is, when the adversary queries  $i$ , the machine returns  $r_i$ .

The requirement that the environment  $Z$  is not allowed to access the random oracle  $H$  translates into the requirement that the protocol we wish to make accountable using our randomness generation subprotocol is not allowed to use the hash function  $H$ . However, this does not imply that  $H$  has to be secret, since we allow the adversary to access  $H$ . (The formal consequence of disallowing  $Z$ 's access to  $H$  is that the simulator now can simulate any values  $H(x)$  as long as these values look random. This is crucial for our simulation proof.)

The proof of Theorem 1 as well as concrete security bounds are given in Appendix A.

### 3.6 Variants of Our Approach

In this section we discuss possible variants and extensions of our protocol.

**Different choice of the trapdoor permutation.** The most obvious variation is to use a different trapdoor one-way permutation. Although this is possible, there are a few caveats. First, our optimization technique from Section 3.4 is specific to 3RSA. Implementations using alternative permutations hence are likely to be much less efficient. Furthermore, if one replace 3RSA by another function  $f$ , the security of the protocol will only be guaranteed if the following three properties are ensured by  $f$  in addition to being one-way (these properties are derived from the security proof). First, one must be able to efficiently prove that  $f$  is indeed a permutation (this is done in our protocol by sending the values  $q_\mu$ ). Second, one must be able to efficiently convert a random bitstring  $h$  into an element of the domain of  $f$  (we did this by computing  $v \bmod n$ ), and it must be efficiently possible to recognize if a given value is indeed in the domain of  $f$  (we did this by checking whether  $s_i \in \{0, \dots, n-1\}$ ). The necessity for the last point is best illustrated by an example. Consider the function  $f_n := x^2 \bmod n$ . If  $n$  is a so-called Blum integer, then  $f_n$  is a permutation on the quadratic residues modulo  $n$ . However, for any given quadratic residue  $s_i$  there always exist  $s_{i+1} \neq s'_{i+1}$  with  $f_n(s_{i+1}) = f_n(s'_{i+1}) = s_i$  where  $s'_{i+1}$  is *not* a quadratic residue. This does not contradict the property that  $f_n$  is a permutation on the quadratic residues, but it still breaks the security of our protocol: In each step a malicious node can choose between two values, and since no efficient way is known to tell quadratic residues from quadratic non-residues, the auditors could not detect an incorrect choice.

**Applying a PRG to  $r_i$ .** In highly randomness-consuming protocols, one might be tempted to perform the following optimization: One generates a new  $r_i$  only when the previous  $r_i$  has been revealed (e.g., since it was contained in an audit log). Then the randomness  $x_1^{(i)}, x_2^{(i)}, \dots$  of the protocol is generated with a classical pseudo-random generator from  $r_i$ . In this case, however, a malicious node can mount the following attack: When it is about to perform some action that needs randomness, it first checks what the next value  $x_j^{(i)}$  would be. If the node does not like this value, the node delays that action until the next audit. After that audit, a new seed  $r_{i+1}$  is used and the next value is  $x_1^{(i+1)}$ , which possibly suits the node better. Although the effect of this attack may be small if audits are not too frequent, it is still present and in protocols where a single random value may have large consequences (e.g., if it decides whether a given sum of money will be transferred or not) it is indeed harmful.

**Using Interaction.** One of the limitations of our protocol is that malicious nodes can predict their own randomness. If the randomness is generated noninteractively, this is necessarily the case, since a node can always compute that randomness ahead of time. One possibility to get rid of this problem would be to use interactivity: for *each* random value, one performs a coin-toss with one's witnesses (in this case one could also get rid of the random oracle). Although a coin-toss constitutes a rather efficient protocol, this obviously

incurs large communication costs (but this might still be feasible for protocols that only rarely need randomness). Another solution that springs to mind would be to include the incoming messages in the generation of the randomness, i.e.,  $r_i := H(P, i, s_i, m)$  where  $m$  is the history of communication. Then even a malicious node can only predict its own randomness as far as it can predict incoming communication. However, this approach is flawed: If two malicious nodes collude, they can mutually influence their randomness by adaptively choosing the messages they exchange.

**Using Zero-Knowledge.** The second limitation of our protocol (which is already present in the original PeerReview) is that the auditors learn the state of a node. One can solve this problem by letting a node send only a hash of its log and then prove using a zero-knowledge proof that the hash contains a valid log. Although this is possible in theory, general purpose zero-knowledge proofs are extremely inefficient and the incurred computational and communication costs would be prohibitive for all but very specific applications.

## 4 Implementation

We implemented our technique as an addition to `libpeerreview`, which is an open-source implementation of PeerReview that was written by the authors of [14] and is publicly available from [23]. In total, we added or modified 1,984 lines of code.

Our implementation is transparent to the user and works without modifications to existing application code; it simply replaces the library’s `getRandom` function. When our technique is enabled, faulty nodes can no longer predict future random values of a correct node. In addition, nodes can be exposed as faulty if they change their random seed after startup.

Internally, our code extends the application’s state machine to (i) run the randomness generation subprotocol when a node is started for the first time, and to (ii) respond to coin-toss messages from other nodes. We could have added these elements as a meta-protocol instead; however, our approach has the advantage that the additional steps can be checked natively by PeerReview. Thus, we do not need a separate mechanism to detect if a node breaks the randomness generation subprotocol or ignores a coin-toss message.

We also extended the log format with additional entries for the  $s_i$ . Checkpoints now include the tuple  $(l_2, t, i, s_i)$ , where  $i$  is the index of the last random number generated, as well as the state of the randomness generation subprotocol (while it is active). This is necessary because the witnesses need to be able to start auditing from a recent checkpoint.

Our implementation uses SHA-1 hashes for  $H$ , which implies  $l_1 = 160$ . We further use  $t_2 = 5$  and  $t_4 = 480$ .  $t_1$  and  $l_2$  can be chosen freely by the user, and  $t_3$  is chosen as  $l_1 \cdot \left(\left\lceil \frac{l_2}{l_1} \right\rceil + 1\right)$ .

Currently, our initial coin-toss protocol requires benign participation of every witness. We can relax this assumption by employing, e.g., the shared-coin protocol with constant bias by Canetti and Rabin [9]. The protocol may tolerate up to one third of compromised witnesses. We leave this extension up to the future work.

## 5 Applications

Randomness is an important instrument in the design of many distributed algorithms. Ensuring accountable pseudo-randomness is important in systems where (i) it is important to be able to detect when a node deviates from an expected sequence of pseudo-random values; and, (ii) predicting future values in a node’s pseudo-random sequence may allow an attacker to gain an advantage.

In this section, we give a few examples of existing and prospective applications that use randomness in the aforementioned way. In each case, our technique can be used to add accountability to these applications without exposing them to attacks.

## 5.1 Sampling

Some applications use statistical sampling to estimate the properties of a large system. For example, Mas-soulié et al. propose a technique to aggregate statistics of peers in a peer-to-peer system using random walks or random samples [19]. A node that performs these samples must follow a pseudo-random sequence, else it could bias the results. However, if an attacker can predict future pseudo-random values generated by benign nodes, it can bias the random walk towards nodes under its own control or adjust its response to the sampling query and thereby influence the sampled value.

Random sampling is also used to measure resource usage. For example, many routers implement Net-Flow [12], which provides IP flow information that ISPs use for billing purposes. In this case, customers wish to verify that the sampling is truly random; however, if customers were able to predict the sampling pattern, they could delay their own traffic when the ISP is about to take a sample, and thus make their resource usage appear lower.

## 5.2 Randomized replication

LOCKSS [18] is a distributed storage system for long-term data preservation. LOCKSS is randomized so as to make it difficult for an attacker to target specific replicas. LOCKSS would benefit from accountability because it could detect and remove faulty nodes early; without our technique, however, this would reveal information about a node’s future actions.

## 5.3 Load balancing

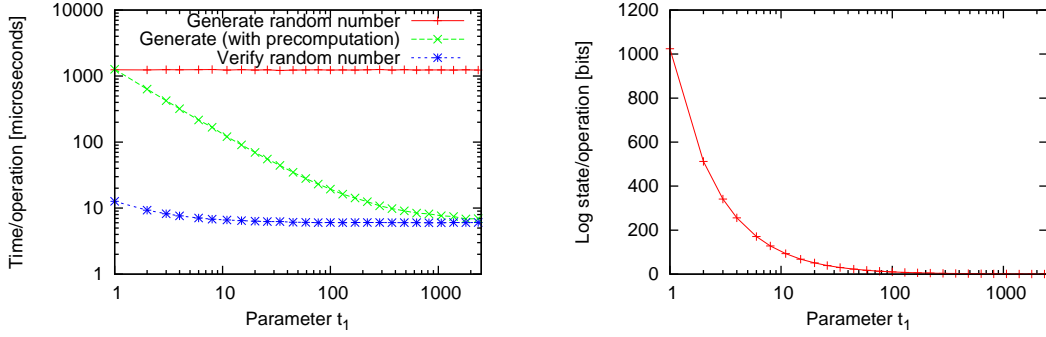
Some systems use randomness to distribute the load evenly across a set of servers. For example, the Total-Recall storage system places replicas of objects on a random set of nodes [6]. If a node was able to predict this choice, it could insert a small dummy object whenever it knows that it will be chosen next. Thus, it could reduce its own storage load at the expense of other nodes.

A similar challenge occurs in anycast services such as [10], where requests are forwarded along a tree. If a leaf node can predict from the seed values of the interior nodes that the next request will be forwarded to it, it can insert a particularly cheap request and thus cause the more expensive requests to be forwarded to other nodes, in order to shed load unfairly.

# 6 Evaluation

## 6.1 Microbenchmarks

We begin by discussing the cost of the two fundamental operations in our algorithm, namely (i) generating a random number on a node, and (ii) verifying a random number that was generated on another node. To quantify the average cost per operation, we executed each operation 10,000 times in a tight loop, using a RSA modulus of  $l_2 = 1024$  bits and varying the batching parameter  $t_1$ . The hardware we used was a Sun V20Z rack server, which has a 2.5 GHz AMD Opteron CPU. Figure 2(a) shows our results.



(a) Average time required to generate/verify a random number

(b) Average amount of state that must be revealed to the auditor per random number

Figure 2: **Microbenchmarks:** With  $t_1 = 100$  and an RSA modulus of  $l_2 = 1024$  bits, a node can generate a random number in  $19\mu s$ , and an auditor can verify its choice in  $6.1\mu s$ , given  $10.2$  bits of information.

Without precomputation, it takes  $1,200\mu s$  to generate a random number, and  $12.7\mu s$  to verify one. The numbers vary little with  $t_1$ , which is expected because the cost of exponentiation dominates the cost of hashing. However, if we compute random numbers in blocks of  $t_1$  values as described in subsection 3.4, the average cost drops quickly with  $t_1$ . With  $t_1 = 500$ , a random number can be generated in only  $9.1\mu s$  and verified in only  $6.0\mu s$ . This shows that our optimization is effective, and it demonstrates that the overhead from random number generation should be insignificant for most applications.

In Figure 2(b), we show the average amount of state that a node must disclose to an auditor for each random value it generates. If random numbers are generated regularly, the node needs to disclose only one  $s_i$ , i.e.  $l_2$  bits, for each block of  $t_1$  random numbers; hence, the overhead drops quickly with  $t_1$ . With  $t_1 = 500$ , only 2 bits need to be disclosed on average, although one additional  $s_i$  must be disclosed during each audit if  $t_1 \nmid i$ . This overhead is insignificant, given that the logs of accountable applications can grow by several megabytes per hour [14].

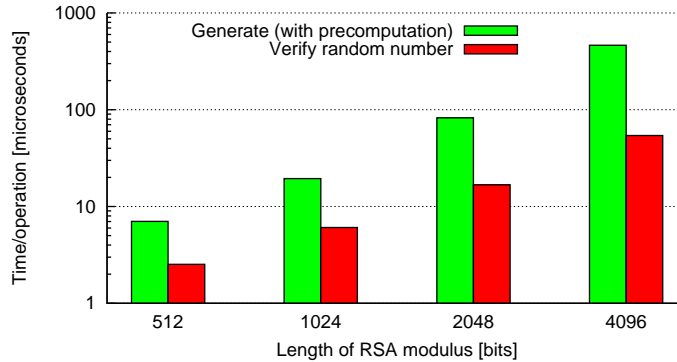


Figure 3: **Key length:** The cost per operation increases with the length of the RSA modulus.

Figure 3 shows how the average cost per operation increases with the length of the RSA modulus. For this experiment, we chose  $t_1 = 100$  and used the same hardware as above.

## 6.2 Application-level benchmark

To estimate the overall impact of these costs, we implemented a simple demo application, which consists of a web server and  $k$  clients. The web server allows its clients to store, retrieve, or delete objects in its store, and it charges them using a simple random sampling technique: At random intervals, it picks a random file from its store, and it charges the owner one credit point. It is clearly desirable to make such a server accountable to its clients, since otherwise it might charge arbitrary amounts; however, without our technique, this is difficult to accomplish because clients would gain the ability to predict when one of their files will be sampled, and could avoid the charge by temporarily removing that file.

We performed a simulation experiment in which we ran this server with  $k = 5$  clients for one hour. On average, the server stored 1000 files with an average size of 10kB, one of which was requested every second. The expected number of samples per second was five, i.e. random numbers were used at the rather high rate of ten per second. The parameters we chose were  $l_2 = 1024$  and  $t_1 = 100$ . We ran the simulation twice, once using our technique to generate the random numbers and once using the `rand` function from GLIBC. The workload in the two simulations was identical.

We found that our technique changed the server's on-disk log size from 56.5 MB to 56.7 MB, a 0.3% increase. The amount of information transmitted to the auditors (the five clients) changed from 12.5 MB to 13.1 MB, a 4.2% increase. The difference occurs because the on-disk log contains additional information (such as checkpoints) which is not normally sent to the auditors. These overheads are small both in relative and absolute terms, which suggests that our technique is practical.

## 7 Conclusion

In this paper, we have described a technique that lends accountability to systems that use randomized protocols. The key contribution is a new technique for generating cryptographically strong, accountable randomness, i.e., to generate a pseudo-random sequence that comes with a proof that the elements of the sequence have been correctly generated, while avoiding that auditors learn anything that would make the node's future actions predictable. We have applied the technique to a simple web server that uses random sampling for billing purposes. Our experiments indicate that the computational cost of our technique is low and that the approach is practical: On current hardware and with a 1024-bit RSA modulus, a random number can be generated in less than  $20\mu s$  and verified in less than  $10\mu s$ . We have additionally shown that the storage and bandwidth costs of our protocol are low both in relative and in absolute terms.

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## A Security Proof

**Theorem 2** *Let a set  $\Pi$  of nodes be given of which an arbitrary number may be malicious. Then for any polynomial time machine  $A$  there exists a polynomial time machine  $S$  such that for any environment  $Z$  that does not access the random oracle  $H$  the following holds: Let  $P_R$  denote the probability that  $Z$  outputs 1 after running together with  $A$  and real machines  $M_P$  for all  $P \in \Pi$ . Let  $P_I$  denote the probability that  $Z$  outputs 1 after running together with  $S$  and ideal machines  $\tilde{M}_P$  for all  $P \in \Pi$ . Then*

$$|P_R - P_I| \leq \left(\frac{3}{7} + \frac{4}{7} \cdot 2^{l_2 - t_3 l_1}\right)^{t_2} \cdot Q + (2^{-l_2 + 1} + 2^{l_2 - t_3 l_1}) \cdot Q t_1 + 2^{l_2 - t_3 l_1} \cdot Q^2 \cdot \#\Pi + Q \cdot \#\Pi \cdot \varepsilon_{3\text{RSA}}(l_2, O(T + Q t_3 l_1 + (Q + \#\Pi t_2) l_2^2 \log l_2 \log \log l_2))$$

Here  $Q$  denotes the number of queries performed by  $Z$  and  $A$  (both to the randomness generation protocol and to  $H$ ),  $T$  denotes an upper bound on the size of the circuits describing  $Z$  and  $A$  (i.e., roughly the running time) and  $\#\Pi$  is the number of nodes.

In particular, if  $l_1, l_2, t_1, t_2, t_3, \#\Pi$  are polynomially bounded in some security parameter  $k$ , and  $l_2, t_2, (t_3 l_1 - l_2) \in \Omega(k)$ , and the 3RSA assumption holds, then  $|P_R - P_I|$  is negligible.

**Proof plan.** To prove Theorem 2, we proceed in three main steps. First, we define a variant of the real execution where the random oracle  $H$  is replaced by a simulation  $\tilde{H}$  which internally works very different from  $H$  but is designed to still give (almost) uniformly distributed outputs  $\tilde{H}(x)$ . We call the execution using  $\tilde{H}$  the hybrid execution (since it is a mix between the real and the ideal execution). Then several events are defined that represent various possible failures or imperfections of the simulation  $\tilde{H}$  and the probability  $\Pr_{\text{BAD}}$  of these events is then shown to be negligible. It is then shown that unless these events occur, the outputs of  $\tilde{H}$  have the same distribution as those of  $H$ . We then proceed to construct the simulator  $S$  which is strongly simplified by the fact that the oracle  $\tilde{H}$  already computes all values necessary for the execution of  $S$ . When then show that unless one of the above-mentioned events occurs, the hybrid and the ideal execution have the same distribution. Concluding, we have that the distribution of the output of  $Z$  in the real and the ideal execution differ only by  $\Pr_{\text{BAD}}$ .

Before we present the actual proof, we need the following auxiliary lemma:

**Lemma 1** *Let  $n \in \mathbb{N}$  be an integer of length  $l_2$ . Let  $l_y \geq l_2$ . If  $f_n(x) := x^3 \bmod n$  is not a permutation on  $\{0, \dots, n-1\}$ , then for random  $y \in \{0, 1\}^{l_y}$  the probability that some value  $q$  exists with  $f_n(q) \equiv y \bmod n$  is bounded from above by  $\frac{3}{7} + \frac{4}{7} \cdot 2^{l_2 - l_y}$ .*

*Proof:* For  $n \leq 2$  the function  $f_n$  is always a permutation. Thus assume  $n \geq 3$ . Since  $f_n$  is a permutation iff  $3 \nmid \varphi(n)$  (since exactly in this case 3 has a multiplicative inverse modulo  $\varphi(n)$ ) we know that  $3 \mid \varphi(n)$ . Thus there is a prime  $p$  and an  $e \in \mathbb{N}$  such that  $p^e \mid n$ ,  $p^{e+1} \nmid n$  and  $3 \mid \varphi(p^e)$ . We distinguish two cases,  $p = 3$  and  $p \neq 3$ . If  $p = 3$ , we have that  $\varphi(p^e) = 2 \cdot 3^{e-1}$ , thus  $e > 2$  and  $9 \mid n$ . Thus if  $q^3 \equiv y \bmod n$ , then  $q^3 \equiv y \bmod 9$ . The only solutions to this equation are  $q \in \{0, 1, 8\} \bmod 9$ . Thus, for random  $y \bmod n$  (and thus also random  $y \bmod 9$ ), we have that there exists a  $q$  with  $q^3 \equiv y \bmod n$  with probability  $\frac{1}{3} \leq \frac{3}{7}$ . Now we consider the case  $p \neq 3$ . Since  $\varphi(2^e) = 2^{e-1}$  and  $\varphi(5^e) = 4 \cdot 5^{e-1}$ , by  $3 \mid \varphi(p^e)$  we have  $p \geq 7$ . Further, since  $3 \nmid p$  and  $3 \mid \varphi(p^e) = (p-1)p^{e-1}$ , we have that  $3 \mid p-1$ . The operation  $f_p : x \mapsto x^3 \bmod p$  corresponds to the function  $\tilde{f}_p : \tilde{x} \mapsto 3\tilde{x} \bmod p-1$  (because  $\mathbb{Z}_p^\times \cong \mathbb{Z}_{\varphi(p)} = \mathbb{Z}_{p-1}$  where  $\mathbb{Z}_p^\times$  is the multiplicative group of  $\mathbb{Z}_p$ ). Since  $\tilde{f}_p(\mathbb{Z}_{p-1}) \cong \mathbb{Z}_{\frac{p-1}{3}}$ , the number of  $\tilde{y} \in \mathbb{Z}_{p-1}$  that have a preimage under  $\tilde{f}_p$  is at most  $\frac{p-1}{3}$ . Thus the number of  $y \in \mathbb{Z}_p^\times$  with a preimage under  $f_p$  is also at most  $\frac{p-1}{3}$ , and the number of  $y \in \mathbb{Z}_p$  with a preimage under  $f_p$  is at most  $N := \frac{p-1}{3} + 1$  (since  $\mathbb{Z}_p \setminus \mathbb{Z}_p^\times = \{0\}$ ). Thus for random  $y \bmod p$  a preimage under  $f_p$  exists with probability at most  $\frac{N}{p}$ , and thus a random  $y \bmod n$  has a preimage under  $f_n$  with probability at most  $\frac{N}{p}$ . Since  $p \geq 7$  we have  $\frac{N}{p} = \frac{p+2}{3p} \leq \frac{7+2}{3 \cdot 7} = \frac{3}{7}$ . So altogether, when choosing  $y$  such that  $y \bmod n$  is uniformly distributed on  $\{0, \dots, n-1\}$ , the probability that  $y \bmod n$  has a preimage under  $f_n$  is at most  $\frac{3}{7}$ . Fix  $u, v$  with  $un + v = 2^{l_y}$  and  $v \in \{0, \dots, n-1\}$ . Since  $2^{l_y} \geq n$  we have  $u \geq 1$ . Let  $y$  be randomly chosen from  $\{0, 1\}^{l_y}$ . Then with probability  $P := \frac{un}{un+v} = 1 - \frac{v}{un+v} \geq 1 - 2^{l_2 - l_y}$  we have that  $y \in \{0, \dots, un\} := M$ . Under the condition that  $y \in M$  we have that  $y \bmod n$  is uniformly distributed on  $\{0, \dots, n-1\}$ . Thus the probability that  $y \bmod n$  has a preimage under  $f_n$  is bounded by  $\frac{3}{7} \cdot P + (1 - P) \leq \frac{3}{7} \cdot (1 - 2^{l_2 - l_y}) + 2^{l_2 - l_y} = \frac{3}{7} + \frac{4}{7} \cdot 2^{l_2 - l_y}$ .  $\square$

**Simulating the random oracle.** Here and for the rest of the proof, for an integer  $i$ , let  $m$  and  $j$  always be integers such that  $mt_1 + j = i$  and  $j \in \{1, \dots, t_1\}$ .

In the first step, we replace the random oracle  $H$  in the real execution by a lazily sampled function  $\tilde{H}$ . The real execution with  $\tilde{H}$  we call the *hybrid execution*. The oracle  $\tilde{H}$  acts as follows:

1. First, for each node  $P$  an infinite sequence of random values  $r_i^P \in \{0, 1\}^{l_1}$  is randomly chosen. Further,  $g_m^P := \perp$  for all  $m \in \mathbb{N}$  and all nodes  $P$ . Initially set  $\tilde{H}(x) := \perp$  for all  $x$ . Let  $G := \emptyset$  and  $N := \emptyset$ . When we say “sample  $\tilde{H}(x)$ ” we mean “if  $\tilde{H}(x) = \perp$ , choose a random  $h \in \{0, 1\}^{l_1}$  and set  $\tilde{H}(x) := h$ ”.
2. Let  $s^P$  denote the value  $s$  chosen by node  $P$ . Until  $s^P$  has been chosen, let  $s^P := \perp$ . Let  $n^P$  denote the public key  $n$  chosen by node  $P$  and let  $q_1^P, \dots, q_n^P$  be the values  $q_1, \dots, q_{t_2}$  output by  $P$ . As soon as  $n^P$  has been output, sample  $\tilde{H}(\text{pk}, \mu, n^P)$  for  $\mu = 1, \dots, t_2$ . Check whether  $f_{n^P}(q_\mu) = \tilde{H}(\text{pk}, \mu, n^P)$  for all  $\mu = 1, \dots, t_2$ . If so, set  $N := N \cup \{n^P\}$ .
3. As soon as  $s^P$  is determined, sample  $\tilde{H}(P, \text{start}, s^P)$ , and set  $s_0^P := \tilde{H}(P, \text{start}, s^P)$ . Then sample  $\tilde{H}(\mu, P, 0, s_0^P)$  for  $\mu = 1, \dots, t_2$ . Then set  $g_0^P := \tilde{H}^*(P, 0, s_0^P)$  and  $G := G \cup \{(P, 0)\}$ .
4. Upon a query  $\tilde{H}(x)$  do the following:
  - Check whether  $x = (P, i, \tilde{x})$  or  $x = (\mu, P, i, \tilde{x})$  such that the following holds: (i)  $i$  is an integer and  $i \geq 1$ , (ii)  $P$  is a node, (iii)  $n^P \in N$ , (iv)  $(P, m) \in G$ , (v)  $\tilde{x} \in \{0, \dots, n^P - 1\}$ , (vi)  $f_{n^P}^j(\tilde{x}) \equiv g_m^P \pmod{n^P}$ ,
  - If this check succeeds, set  $\tilde{H}(P, i, \tilde{x}) := r_i^P$ . Further, if additionally  $j = t_1$ , sample  $\tilde{H}(\mu, P, i, \tilde{x})$  for  $\mu = 1, \dots, t_2$  and set  $g_{m+1}^P := \tilde{H}^*(P, i, \tilde{x})$  and  $G := G \cup \{(P, m)\}$ .
  - Finally, sample  $\tilde{H}(x)$  and return  $\tilde{H}(x)$ .<sup>8</sup>

**Events.** We define the following events that may occur in the hybrid execution:

Event GCONFLICT: Queries  $\tilde{H}(x_1), \tilde{H}(x_2)$  are performed with  $x_1 = (P, i, \tilde{x})$  or  $x_1 = (\mu, P, i, \tilde{x})$  and with  $x_2 = (P, i, \tilde{x})$  or  $x_2 = (\mu', P, i, \tilde{x})$  (note that  $P, i$ , and  $\tilde{x}$  are the same in both queries) such that during the first query we have  $(P, m) \notin G$  and during the second query we have  $(P, m) \in G, n^P \in N$  and  $f_{n^P}^j(\tilde{x}) \equiv g_m^P \pmod{n^P}$ .

Event REASSIGN:  $\tilde{H}(x)$  is assigned a value  $y$  although it was already assigned some value  $y' \notin \{y, \perp\}$ .

Event ALIAS: In two queries to  $\tilde{H}$ , two triples  $(P, i, \tilde{x})$  and  $(P, i, \tilde{x}')$  with  $\tilde{x} \neq \tilde{x}'$  (but with the same  $P, i$ ) pass the check in Step 4.

Event NONINJECTIVE: There exists a node  $P$  such that  $n^P \in N$  and  $f_{n^P}$  is *not* a permutation on  $\{0, \dots, n^P - 1\}$ .

Event PREDICT: In some query to  $\tilde{H}$ , a triple  $(P, i, \tilde{x})$  passes the check in Step 4 where  $P$  is an honest node and the  $i$ -th randomness query to  $P$  by the environment has not yet been performed. (Here, if the query to  $\tilde{H}$  occurs *during* the  $i$ -th randomness query to  $P$ , we consider the  $i$ -th randomness query as already performed.)

Event WRONGPROOF: An honest party outputs a triple  $(\tilde{r}_i, \pi_i, b_i)$  in a randomness query with  $b_i \neq 1$ .

Event WRONGRANDOM: A party outputs a triple  $(\tilde{r}_i, \pi_i, b_i)$  with  $\tilde{r}_i \neq r_i$  and  $b_i = 1$ .

Event BAD: One of the events GCONFLICT, REASSIGN, ALIAS, NONINJECTIVE, PREDICT, WRONGPROOF, or WRONGRANDOM occurs.

**Event probabilities.** We will now bound the probabilities of the various events defined above.

<sup>8</sup>Note that sampling  $\tilde{H}(x)$  only has an effect if  $\tilde{H}(x)$  has not been assigned in the preceding step.

First, we bound the probability of NONINJECTIVE. A value  $n$  is in  $N$  only if  $\tilde{H}^*(\text{pk}, \mu, n)$  has a preimage modulo  $n$  under  $f_n$  for all  $\mu \in \{1, \dots, t_2\}$ . Since the values  $h_\mu := \tilde{H}^*(\text{pk}, \mu, n)$  are uniformly chosen from  $\{0, 1\}^{t_3 l_1}$  with  $t_3 l_1 \geq l_2 \geq |n|$  (and  $n$  cannot depend on the  $h_\mu$  since  $n$  is given as argument to  $\tilde{H}^*$ ), we have by Lemma 1 that if  $f_n$  is not a permutation, the probability that all  $h_\mu$  have a preimage under  $f_n$  is at most  $(\frac{3}{7} + \frac{4}{7} \cdot 2^{l_2 - t_3 l_1})^{t_2}$ . Thus, since at most  $Q$  different values  $n$  can be queried, the probability of NONINJECTIVE is at most  $(\frac{3}{7} + \frac{4}{7} \cdot 2^{l_2 - t_3 l_1})^{t_2} Q$ .

Next, we bound the probability of GCONFLICT  $\wedge$   $\neg$ NONINJECTIVE. Assume that GCONFLICT  $\wedge$   $\neg$ NONINJECTIVE occurs. Then in the first query  $\tilde{H}(x_1)$ , the value  $g_m^P$  has not yet been chosen. Further, in the second query we have that  $n^P \in N$ , thus  $f_{n^P}$  is a permutation on  $\{0, \dots, n^P - 1\}$  (since we assume  $\neg$ NONINJECTIVE). Further, in the second query we have that  $f_{n^P}^j(\tilde{x}) \equiv g_m^P \pmod{n^P}$  and thus  $\tilde{x} \equiv f_{n^P}^{-j}(g_m^P) \pmod{n^P}$  where  $g_m^P$  is randomly chosen *after*  $\tilde{x}$  (because  $\tilde{x}$  is already used in the first query). Since  $g_m^P$  is uniformly distributed on  $\{0, 1\}^{t_3 l_1}$ , we have that  $g_m^P \pmod{n^P}$  is uniformly distributed on  $\{0, \dots, n^P - 1\}$  under the condition that  $g_m^P < 2^{t_3 l_1} - (2^{t_3 l_1} \pmod{n})$ . The probability that  $g_m^P \geq 2^{t_3 l_1} - (2^{t_3 l_1} \pmod{n})$  is at most  $\frac{n}{2^{t_3 l_1}} \leq 2^{l_2 - t_3 l_1}$ , thus the statistical distance  $\delta$  between the distribution of  $g_m^P \pmod{n^P}$  and the uniform distribution on  $\{0, \dots, n^P - 1\}$  is at most  $2^{l_2 - t_3 l_1}$ . Since  $f_{n^P}^{-j}$  is a permutation, the same holds for  $f_{n^P}^{-j}(g_m^P) \pmod{n^P}$ . Thus the probability that a random  $g_m^P$  fulfills  $\tilde{x} \equiv f_{n^P}^j(g_m^P)$  is at most  $\frac{1}{n} + \delta \leq 2^{-l_2 + 1} + 2^{l_2 - t_3 l_1}$ . Since at most  $Q$  different queries  $\tilde{H}(x_1)$  can be performed in an execution, and  $j$  can take only  $t_1$  different values (we have  $j \in \{1, \dots, t_1\}$ ), we have that the probability that GCONFLICT  $\wedge$   $\neg$ NONINJECTIVE occurs is at most  $(2^{-l_2 + 1} + 2^{l_2 - t_3 l_1}) Q t_1$ .

Now, we show that REASSIGN  $\wedge$   $\neg$ GCONFLICT does not occur. By our definition of sampling, sampling  $\tilde{H}(x)$  for some  $x$  can never reassign  $\tilde{H}(x)$ . Thus the only place where some  $\tilde{H}(x)$  could be reassigned is in Step 4, namely the assignment  $\tilde{H}(P, i, \tilde{x}) := r_i^P$ . However, this assignment can only occur if  $(P, m) \in G$ ,  $n^P \in N$  and  $f_{n^P}^j(\tilde{x}) \equiv g_m^P \pmod{n^P}$ . Further, for this assignment to be a reassignment,  $\tilde{H}(P, i, \tilde{x})$  needs to have already been assigned a different value, i.e.,  $\tilde{H}(P, i, \tilde{x})$  needs to have been sampled in an earlier query. For this, in the earlier query  $(P, m) \notin G$  needs to hold (otherwise the check in Step 4 would have been passed). Thus REASSIGN implies GCONFLICT, and therefore REASSIGN  $\wedge$   $\neg$ GCONFLICT does not occur.

Now we show that ALIAS  $\wedge$   $\neg$ NONINJECTIVE never occurs. ALIAS occurs if two triples  $(P, i, \tilde{x})$  and  $(P, i, \tilde{x}')$  with  $\tilde{x} \neq \tilde{x}'$  pass the test in Step 4. This implies that  $n^P \in N$ , that  $\tilde{x}, \tilde{x}' \in \{0, \dots, n^P - 1\}$ , and that  $f_{n^P}^j(\tilde{x}) = g_m^P = f_{n^P}^j(\tilde{x}')$ . This is only possible if  $f_{n^P}^j$  is not a permutation on  $\{0, \dots, n^P - 1\}$ . However, this would imply NONINJECTIVE since  $n^P \in N$ . Thus ALIAS  $\wedge$   $\neg$ NONINJECTIVE never occurs.

We now show that event WRONGRANDOM  $\wedge$   $\neg$ REASSIGN never occurs. Both honest and malicious machines  $M_P$  set  $b_i := \text{Verify}(P, n^P, s^P, \tilde{r}_i^P, q_1^P, \dots, q_{t_2}^P, s_1^P, \dots, s_i^P)$  where  $(\tilde{r}_i^P, s_i^P)$  are the values chosen by the adversary in the  $i$ -th randomness query to  $P$ . Assume that no  $\tilde{H}(x)$  is ever reassigned a different value, i.e., that REASSIGN does not occur. A comparison of the definition of *Verify* and Step 4 of the simulation of  $\tilde{H}$  then reveals that if *Verify* returns  $b_i = 1$ , then the simulation of  $\tilde{H}$  sets  $\tilde{H}(P, i, s_i^P)$  to  $r_i^P$ . Since *Verify* only returns  $b_i = 1$  if  $\tilde{r}_i^P = \tilde{H}(P, i, s_i^P)$ , it follows that if  $b_i = 1$  then  $\tilde{r}_i^P = r_i^P$ . Thus WRONGRANDOM  $\wedge$   $\neg$ REASSIGN never occurs.

We now show that WRONGPROOF  $\wedge$   $\neg$ REASSIGN does not occur. By construction of the protocol, as long as the oracle  $\tilde{H}$  always returns the same value on the same input (i.e., REASSIGN does not occur), all checks in the definition of *Verify* succeed, thus WRONGPROOF  $\wedge$   $\neg$ REASSIGN does not occur.

**Bounding the probability of PREDICT.** Now we bound the probability of PREDICT  $\wedge$   $\neg$ REASSIGN. This is actually the only place in this proof where the one-wayness of  $f_n$  comes into play. Let  $\gamma$  be the probability that PREDICT  $\wedge$   $\neg$ REASSIGN occurs. Then, for a random honest node  $\hat{P}$  and a random integer  $\hat{i} \in \{1, \dots, Q\}$ ,

the probability that  $\text{PREDICT} \wedge \neg \text{REASSIGN}$  occurs with  $P = \hat{P}$  and  $i = \hat{i}$  is at least  $\frac{\gamma}{Q\#\Pi}$ . We can then transform the whole system consisting of nodes, environment, adversary, and  $\tilde{H}$  into one machine  $Sim$  that performs the following:

- First, it chooses a random RSA modulus  $\hat{n}$  of length  $l_2$  with  $3 \nmid \varphi(\hat{n})$  and a random  $\hat{y} \in \{0, \dots, \hat{n} - 1\}$ .
- Then it chooses a random honest node  $\hat{P}$  and an integer  $\hat{i} \in \{1, \dots, Q\}$ . It computes  $\hat{m}$  and  $\hat{j} \in \{1, \dots, t_1\}$  such that  $\hat{i} = \hat{m}t_1 + \hat{j}$ .
- It simulates the hybrid execution with the following modifications:
  - (i) When  $\hat{P}$  would choose the RSA modulus  $n^{\hat{P}}$ , it sets instead  $n^{\hat{P}} := \hat{n}$ .
  - (ii) When  $H^*(\text{pk}, \mu, \tilde{x})$  is to be sampled,<sup>9</sup> choose some random  $q \in \{0, \dots, \hat{n} - 1\}$  and choose a random  $\hat{h}_\mu \in \{0, 1\}^{t_3 l_1}$  with  $\hat{h}_\mu \equiv f_{\hat{n}}(q) \pmod{\hat{n}}$ . Store  $(q, \hat{h}_\mu)$  in some list  $L$ .
  - (iii) When in Step 4 of the simulation of  $\tilde{H}$ , the value  $\tilde{H}^*(\hat{P}, i, \tilde{x})$  is to be sampled, do not choose these values randomly but choose a random  $\hat{g}_m \in \{0, 1\}^{t_3 l_1}$  with  $\hat{g}_m \equiv g \pmod{\hat{n}}$  where  $g$  is chosen as follows: If  $m = \hat{m}$ , then  $g := f_{\hat{n}}^{\hat{j}-1}(\hat{y})$ , and if  $m \neq \hat{m}$ , choose a random  $y' \in \{0, \dots, \hat{n} - 1\}$  and set  $g := f_{\hat{n}}^{t_1}(y')$ . In this computation, on each invocation of  $f_{\hat{n}}(a) = b$ , store  $(a, b)$  in the list  $L$ . Then assign  $\hat{g}_m$  to  $\tilde{H}^*(\hat{P}, i, \tilde{x})$ .
  - (iv) When  $M_{\hat{P}}$  computes  $f_{\hat{n}}^{-1}(b)$  for some  $x$ , search for some  $(a', b')$  with  $b = b'$  in  $L$  and return  $a$ . Only if no such  $(a', b')$  exists, use the secret key corresponding to  $\hat{n}$  to compute  $f_{\hat{n}}^{-1}(b)$ .

Note that in this simulation,  $n^{\hat{P}}$  is chosen with the same distribution as in the hybrid execution. Further, the computation of  $f_{\hat{n}}^{-1}$  by  $M_{\hat{P}}$  is performed differently, but the result is the same as in the hybrid execution since if  $(a', b') \in L$  then  $b' = f_{\hat{n}}(a')$  and thus  $a' = f_{\hat{n}}^{-1}(b')$  (note that since  $\hat{n}$  is chosen honestly,  $f_{\hat{n}}$  is a permutation). Now consider the choice of  $\hat{g}_m$ . These values are not chosen uniformly from  $\{0, 1\}^{t_3 l_1}$ , but instead they are chosen uniformly under the precondition that  $\hat{g}_m \equiv g \pmod{\hat{n}}$ . The value  $g$  is chosen uniformly from  $\{0, \dots, \hat{n} - 1\}$  (since  $f_{\hat{n}}$  is a permutation, and  $y'$  is each time a fresh random value and  $\hat{y}$  is only used for  $\hat{g}_{\hat{m}}$ ). Thus  $\hat{g}_m$  is a fresh random value with a distribution that has a statistical distance  $\delta$  from the uniform distribution with  $\delta \leq \frac{2^{t_3 l_1} \bmod \hat{n}}{2^{t_3 l_1}} \leq \frac{\hat{n}}{2^{t_3 l_1}} \leq 2^{l_2 - t_3 l_1}$ . Analogous reasoning holds for  $\hat{h}_\mu$ . Since at most  $Q$  values  $\hat{g}_m$  and  $\hat{h}_\mu$  are chosen, the overall error introduced at most is  $2^{l_2 - t_3 l_1} \cdot Q$ . Thus the probability that  $\text{PREDICT} \wedge \neg \text{REASSIGN}$  occurs in the execution simulated by  $Sim$  is at least  $\frac{\gamma}{Q\#\Pi} - 2^{l_2 - t_3 l_1} \cdot Q$ . The machine  $M_{\hat{P}}$  computes  $f_{\hat{n}}^{-1}$  only in two situations. First, for computing  $q_\mu = f_{\hat{n}}^{-1}(\tilde{H}^*(\text{pk}, \mu, \hat{n}))$  and second for computing  $s_i = f_{\hat{n}}^{-j}(\tilde{H}^*(P, mt, s_{mt}))$ . In the first case, after querying  $h := \tilde{H}^*(\text{pk}, \mu, \hat{n})$ , a pair  $(q, \hat{h}_\mu)$  with  $\hat{h}_\mu = h$  is contained in  $L$ . Thus  $f_{\hat{n}}^{-1}$  is computed without accessing the secret key. In the second case, when computing  $s_i$ , as long as  $\text{REASSIGN}$  does not occur, the value  $g_m := \tilde{H}^*(P, mt, s_{mt})$  is chosen in Step (iii) as  $\hat{g}_m$ . In this case, for  $i < \hat{i}$  (and thus  $m < \hat{m}$  or  $j < \hat{j}$ ), we have that  $(f_{\hat{n}}^{-j}(\hat{g}_m), f_{\hat{n}}^{-j+1}(\hat{g}_m)) \in L$  and  $s_i$  is computed without accessing the secret key of  $M_{\hat{P}}$ . Thus  $M_{\hat{P}}$  does not use its secret key before the  $i$ -th randomness query unless  $\text{REASSIGN}$  occurs. If  $\text{PREDICT}$  occurs with  $P = \hat{P}$  and  $i = \hat{i}$ , we have that a triple  $(\hat{P}, \hat{i}, \tilde{x})$  is accepted in Step 4 of the simulation of  $\tilde{H}$  before the  $i$ -th randomness query of  $M_{\hat{P}}$ . This implies that  $\tilde{x} = f_{\hat{n}}^{-\hat{j}}(\hat{g}_{\hat{m}}) = \tilde{x} = f_{\hat{n}}^{-\hat{j}}(f_{\hat{n}}^{\hat{j}-1}(\hat{g}_{\hat{m}})) = f_{\hat{n}}^{-1}(\hat{y})$ . So, if  $\text{PREDICT} \wedge \neg \text{REASSIGN}$ ,  $Sim$  finds a preimage of  $\hat{y}$  under  $f_{\hat{n}}$  without accessing the secret key corresponding to  $n$  if  $\text{PREDICT} \wedge \neg \text{REASSIGN}$  occurs with  $P = \hat{P}$  and  $i = \hat{i}$ . Since the probability for this is at least  $\frac{\gamma}{Q\#\Pi} - 2^{l_2 - t_3 l_1} \cdot Q$  as seen above, by definition of  $\varepsilon_{\text{3RSA}}$  we have that  $\frac{\gamma}{Q\#\Pi} - 2^{l_2 - t_3 l_1} \cdot Q \leq \varepsilon_{\text{3RSA}}(l_2, S)$  where  $S$  is the size of the circuit describing the machine  $Sim$ . It can be easily verified  $Sim$  can be described by a circuit of size  $O(T + Qt_3 l_1 + (Q + \#\Pi t_2)X)$  where  $X$  is the size of a circuit that performs an exponentiation

<sup>9</sup>When we say that  $H^*(x)$  is to be sampled, we mean that  $H(k, x)$  is to be sampled for some  $k \in \{1, \dots, t_3\}$ . Similarly, when assigning some value  $v_1 \parallel \dots \parallel v_{t_3}$  to  $H^*(x)$ , we assign  $v_k$  to  $H^*(k, x)$ . We use this somewhat sloppy notation for readability.

modulo a number  $n$  of length  $l_2$ . By [25] and [15, p. 295] we have  $X \in O(l_2^2 \log l_2 \log \log l_2)$ . Thus the probability  $\gamma$  that  $\text{PREDICT} \wedge \neg \text{REASSIGN}$  occurs is at most  $Q \cdot \#\Pi \cdot \varepsilon_{3\text{RSA}}(l_2, O(T + Qt_3 l_1 + (Q + \#\Pi t_2) l_2^2 \log l_2 \log \log l_2)) + 2^{l_2 - t_3 l_1} \cdot Q^2 \cdot \#\Pi$ .

**The probability of BAD.** The event  $\text{BAD}$  is equivalent to  $\text{NONINJECTIVE} \vee (\text{GCONFLICT} \wedge \neg \text{NONINJECTIVE}) \vee (\text{REASSIGN} \wedge \neg \text{GCONFLICT}) \vee (\text{ALIAS} \wedge \neg \text{NONINJECTIVE}) \vee (\text{WRONGRANDOM} \wedge \neg \text{REASSIGN}) \vee (\text{WRONGPROOF} \wedge \neg \text{REASSIGN}) \vee (\text{PREDICT} \wedge \neg \text{REASSIGN})$ . Combining the above bounds on the probabilities of the various events, we get that  $\text{BAD}$  occurs with probability at most

$$\begin{aligned} \text{Pr}_{\text{BAD}} := & \left(\frac{3}{7} + \frac{4}{7} \cdot 2^{l_2 - t_3 l_1}\right) t_2 \cdot Q + (2^{-l_2 + 1} + 2^{l_2 - t_3 l_1}) \cdot Qt_1 \\ & + Q \cdot \#\Pi \cdot \varepsilon_{3\text{RSA}}(l_2, O(T + Qt_3 l_1 + (Q + \#\Pi t_2) l_2^2 \log l_2 \log \log l_2)) \\ & + 2^{l_2 - t_3 l_1} \cdot Q^2 \cdot \#\Pi \end{aligned}$$

**Faithfulness of the oracle simulation.** We will now show that the simulation of  $\tilde{H}$  as described above is a faithful simulation of the random oracle  $H$ . More exactly, we show that unless  $\text{REASSIGN}$  or  $\text{ALIAS}$  occurs we have that when  $\tilde{H}$  is queried twice with the same value it returns the same image, and when  $\tilde{H}$  is queried with a value  $x$  that has not yet been queried, a fresh random value from  $\{0, 1\}^{l_1}$  is returned.<sup>10</sup> Since the simulated  $\tilde{H}$  upon query  $x$  always returns  $\tilde{H}(x)$  (where the partial function  $\tilde{H}$  is possibly modified first),  $\tilde{H}$  will always return the same values on the same queries unless  $\text{REASSIGN}$  occurs.

To see that for a value  $x$  that has not yet been queried, a fresh random value is returned, note that there are only two possibilities how  $\tilde{H}(x)$  gets assigned a value. First,  $\tilde{H}(x)$  is sampled. In this case, by definition  $\tilde{H}(x)$  is assigned a fresh value. Or second,  $\tilde{H}(P, i, \tilde{x})$  is assigned  $r_i^P$ . Since each  $r_i^P$  is an independently chosen random value, and that value is never accessed until  $r_i^P$  is assigned,  $\tilde{H}(P, i, \tilde{x})$  is assigned only fresh random values unless some  $r_i^P$  is assigned to two different  $\tilde{H}(P, i, \tilde{x})$ . This again only happens if for two triples  $(P, i, \tilde{x})$  and  $(P, i, \tilde{x}')$  with  $\tilde{x} \neq \tilde{x}'$  pass the check in Step 4 (in different queries), i.e., if  $\text{ALIAS}$  occurs. Thus unless  $\text{REASSIGN}$  or  $\text{ALIAS}$  occurs,  $\tilde{H}$  is a faithful simulation of a random oracle.

**Constructing the simulator.** For a given adversary  $A$  that runs with the real machines  $M_P$ , we now construct the simulator  $S$  that runs with the ideal machines  $\tilde{M}_P$  in the ideal execution. This simulator  $S$  does the following:

- (i) It simulates the random oracle  $\tilde{H}$  as described above. However, it does not choose the values  $r_i^P$  on its own but uses the values  $r_i$  chosen by machine  $\tilde{M}_P$ . By definition, malicious machines make the  $r_i$  accessible to the simulator. If  $\tilde{M}_P$  is honest, and a value  $r_i$  is required that  $\tilde{M}_P$  has not yet sent to the simulator, the simulator aborts.
- (ii) It simulates an instance of the adversary  $A$ . Any communication from the environment to the simulator is passed to the simulated adversary  $A$ .
- (iii) When an ideal honest machine  $\tilde{M}_P$  requests a tuple  $(n, q_1, \dots, q_{t_2})$ , the simulator computes  $(n, q_1, \dots, q_{t_2})$  according to the protocol (i.e.,  $n$  is an RSA modulus and  $q_\mu := f_n^{-1}(\tilde{H}(\text{pk}, \mu, n))$ ).
- (iv) When an ideal malicious machine  $\tilde{M}_p$  requests a tuple  $(n, q_1, \dots, q_{t_2})$  that request is forwarded to the adversary  $A$ .
- (v) When the machine  $\tilde{M}_P$  passes the value  $s$  to the simulator, that value is forwarded to the adversary.

<sup>10</sup>By *fresh* we mean that this value is uniformly distributed and independent of all other values returned so far.

- (vi) When the malicious machine  $\tilde{M}_P$  requests a triple  $(\tilde{r}_i, s_i, b_i)$ , the simulator requests  $(\tilde{r}_i, s_i)$  from the adversary  $A$ , and computes  $b_i := \text{Verify}(P, n, s, \tilde{r}_i, q_1, \dots, q_{t_2}, s_1, \dots, s_i)$  where  $n, s, q_\mu, s_\mu$  are the respective values output by  $\tilde{M}_P$ . Then the simulator returns  $(\tilde{r}_i, s_i, b_i)$  to  $\tilde{M}_P$ .
- (vii) When the honest machine  $\tilde{M}_P$  requests a triple  $(\tilde{r}_i, s_i, b_i)$ , the simulator sets  $b_i := 1$  and computes  $(\tilde{r}_i, s_i)$  according to the honest protocol (i.e.,  $\tilde{r}_i := \tilde{H}(P, i, s_i^P)$   $s_i$  is computed recursively as  $f_n^{-1}(s_{i-1})$  or  $f_n^{-1}(\tilde{H}^*(P, i-1, s_{i-1}))$  or  $\tilde{H}^*(P, \text{start}, s)$ , respectively). Note that the simulator is able to compute  $f_n^{-1}$  for honest machines  $\tilde{M}_P$  since the simulator has chosen the modulus  $n$  for  $\tilde{M}_P$  himself.

**Faithfulness of the simulation.** We will now show that the view of the environment is identical in an execution of the adversary  $A$  and the real machines  $M_P$  but with simulated  $\tilde{H}$  (the hybrid execution) and in an execution of the simulator  $S$  and the ideal machines  $\tilde{M}_P$  (the ideal execution) unless PREDICT, WRONGRANDOM or WRONGPROOF occurs. Steps (i)–(v) are a direct simulation of the corresponding actions of the real machines and the adversary unless the simulator aborts in Step (i). The latter only happens when a value  $r_i^P$  is required that has not yet been given by the honest  $\tilde{M}_P$  to the simulator, i.e., if PREDICT occurs.

Consider Step (vi). In the hybrid execution the malicious machine  $M_P$  returns the triple  $(\tilde{r}_i, s_i, b_i)$  where  $b_i := \text{Verify}(P, n, s, \tilde{r}_i, q_1, \dots, q_{t_2}, s_1, \dots, s_i)$  and  $(\tilde{r}_i, s_i)$  are the values chosen by the adversary  $A$ . In the ideal execution, the malicious machine  $\tilde{M}_P$  returns the triple  $(r'_i, s_i, b_i)$  where  $s_i$  is the value chosen by  $A$  and  $b_i$  is computed as in the hybrid execution. Further we have  $r'_i = \tilde{r}_i$  if  $b_i = 0$  and  $r'_i = r_i$  if  $b_i = 1$  (here  $r_i$  is the random value chosen by  $\tilde{M}_P$  itself). Thus the triples returned in the hybrid and the ideal execution are equal unless  $r_i \neq \tilde{r}_i \wedge b_i = 1$ , i.e., unless WRONGRANDOM occurs.

Consider Step (vii). In the hybrid execution the honest machine  $M_P$  returns the triple  $(\tilde{r}_i, s_i, b_i)$  where  $(\tilde{r}_i, s_i)$  are computed according to the honest protocol and  $b_i := \text{Verify}(P, n, s, \tilde{r}_i, q_1, \dots, q_{t_2}, s_1, \dots, s_i)$ . In the ideal execution the honest machine  $\tilde{M}_P$  returns the triple  $(r_i, s_i, 1)$  (here  $r_i$  is the random value chosen by  $\tilde{M}_P$  itself). Thus the triples returned in the hybrid and the ideal execution are equal unless  $r_i \neq \tilde{r}_i \vee b_i \neq 1$ . However,  $r_i \neq \tilde{r}_i \vee b_i \neq 1$  implies WRONGPROOF  $\vee$  WRONGRANDOM, so the triples returned in the hybrid and the ideal execution are equal unless WRONGPROOF or WRONGRANDOM occurs.

So together, we have that the view of the environment is identical in the hybrid and the ideal execution unless PREDICT, WRONGRANDOM, or WRONGPROOF occurs.

**Putting the pieces together.** We have seen so far that the real and the hybrid execution lead to the same outputs of  $H$  or  $\tilde{H}$ , respectively, unless BAD occurs. Thus in particular  $Z$ 's output is the same unless BAD occurs. Furthermore, we have shown the same for the hybrid and the ideal execution. Therefore the output of  $Z$  is the same in the real and the ideal execution unless BAD occurs. Thus  $|P_R - P_I| \leq \text{Pr}_{\text{BAD}}$ . Using the bound for  $\text{Pr}_{\text{BAD}}$  derived above, Theorem 2 follows.  $\square$